TRANSFER FROM LBI OF DATA FROM THE FALLEN BANK OF LANDSBANKINN

S. Andrea Ásgeirsdóttir

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LBI

LBI

- LBI is a Winding-up Board for the fallen bank of Landsbankinn
  - LBI was founded on the base of the Emergency Act (no. 125/2008)

- The role of LBI is:
  - to wind up the bankruptcy estate of the old Landsbanki
  - settle the assets and liabilities of the old Landsbanki
- After the bankrupcy the state acquired Landsbankinn
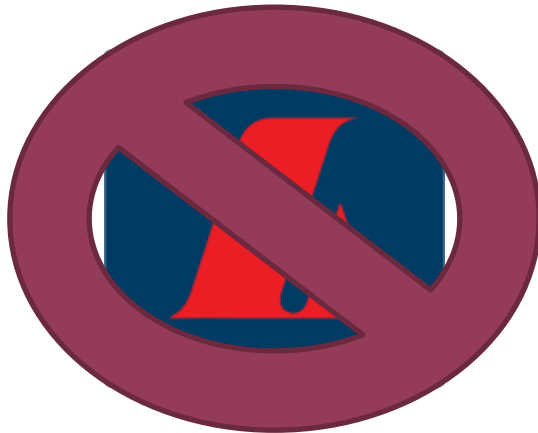
LBI

- There are two Landsbankinn!

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THE START OF THE PROJECT

THE START OF THE PROJECT

- In September 2016 – Hugvit sends us technical data

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THE NOTIFICIATION

- In January 2018, the National Archives of Iceland received the notification
  - From **HUGVIT** and **LBI**
- The notification was accepted in February 2018.
  - Only few employees left at **LBI**

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DELIVERING SIP

- Hugvit had already started making the SIP in January 2018.
  - They were our main contact in this project
- June 2018
  - SIP delivered
  - Testing the SIP got delayed.

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TESTING OF THE SIP

TESTING OF THE SIP – THE TEST SHEET

What was tested?	Finished	Remarks
Automatic test with ADA	Yes	OK
Is the archiving version number (AVID) according to Delivery terms?	Yes	OK
Is the content of contextDocumentationIndex and archiveIndex correct and easily understandable?	Yes	
Each file in the folder ContextDocumentation should be at least one category in contextDocumentationIndex	Yes	
Documents in ContextDocumentation need to be readable and metadata must be good	Yes	OK

Remarks for archiveIndex

- *archiveInformationPacketType* should be true
- Recommend to describe access restrictions in *archiveRestrictions*

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TESTING OF THE SIP – THE TEST SHEET

What was tested?	Finished
Same tables should be in the SIP as are specified in Delivery terms	Yes
Does Delivery terms contain contracts or some sort of connection which needs to be looked at?	Yes
Description of tables and columns in tableIndex should match the data in the tables and columns.	Y
Does column contain a code (which needs to be explained? Please check if it is properly explained.	Y
A field which contains text/string must not contain marked text which cannot be interpreted	Y

Tips

- The tips were mostly because some columns were always empty (contained no data)

Remarks

- Most of the remarks were because something wasn't described correctly

- Type of remarks:
  - Columns with the same description
  - Lack of description
  - Format/marked text not explained
  - Abbreviations not explained
  - File Format

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TESTING OF THE SIP – THE TEST SHEET

What was tested?	Finished	Remarks
The SIP must contain the same views and SQL queries which are sited in Delivery terms	Yes	OK
Views and SQL queries must be described according to Delivery terms	Yes	
Check connection between tables	Yes	
Certain queries for SIP (those which start with AV are system tables) must be tested and made sure that they run	Yes	

Remarks because of table connections

- Usually the tables Document and OtherDocuments connects to Cases but here they connect to JournalKeys.

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TESTING OF THE SIP – THE TEST SHEET

What was tested?	Finished
Estimation of number of documents which could not be converted or were missing from the SIP	Yes
Estimate the readability of documents in the SIP	Yes
Estimate whether first empty page or empty pages are due to conversion errors	Yes
Estemate whether connection between document and documentation is correct (Does each document connect to a case?)	Yes
Check if mandatory or other kind of activity is present	Yes

Remarks because of unconvertable documents

- 59 documents couldn't

Remarks because of Documentation

- Name of document is not according to heading/title
- Wrong document date
- Document date was missing
- Received date of document missing

THE SOLUTION

THE SOLUTION

- There was no way we were getting the errors in the SIP fixed

- We asked for a list which explained abbreviations, formats and marked texts.
  - 26 pages of abbreviations!

ADDITION TO THE SIP

- The national archives of Iceland added the following documents to the SIP
  - Instructions with the SIP
  - List of abbreviations
  - The test sheet
  - Updated:
    - archiveIndex
    - contextdocumentationIndex

INSTRUCTIONS WITH THE SIP

Leiðbeiningar vegna GoPro Kröfur

Þessi vörsluútgáfa er ófullkomin að því leiti að það eru ýmsir annmarkar á henni. Þjóðskjalasafn þurfti að samþykkja hana svona því það er verið að loka Slitastjórn Landsbankans og það eru engar lýkur á því að við getum fengið þetta betra.

Það tókst að fá skammstafanaskjal sem lýsir helstu skammstöfunum og var það sett inn í contextDocumentation.

Skjalið sem sýnir athugasemdir vegna prófunar á vörsluútgáfu verður látið fylgja með í vörsluútgáfunni.

Eftirfarandi var lagað af starfsmanni þjóðskjalasafns:

- Skammstafanatöflu bætt við vörsluútgáfuna
- Þessu skjali bætt við vörsluútgáfuna
- Yfirferð á vörsluútgáfu (þ.e. athugasemdaskjali vegna prófunar á vörsluútgáfu) bætt við.
- Í archiveIndex var archiveInformationPacketType breytt í true þar sem þetta er lokaafhending
- Ekki var hægt að laga lýsingar á svæðum og verður að láta duga þær lýsingar sem eru til staðar. Á einhverjum atriðum er tekið í skammstafanatöflunni.
- Ekki var hægt að laga umbreytingarvillur eða laga ólæsileg skjöl.
- Ekki er alltaf hægt að leita eftir dagsetningu skjals. Dagsetning var mjög oft röng í Attachments töflunni og stundum í Documents töflunni. Ef skjal finnst ekki eftir dagsetningu, leitið þá eftir öðrum lýsigögnum.

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LIST OF ABBREVIATIONS

Skammstafanir

FPFP ICE LLC		
FSCS		Financial Services Compensation Scheme
GL		
GMRA		Global master repurchase agreement
GMSLA		Global master securities lending agreement
IBAN		Internation Bank Account Number
ISDA		International Swaps and Derivatives Association
ISIN		International Securities Identification Number
CTRF		
DLA krafa		DLA Piper er ensk lögfræðistofa
DNB		De Nederlandsche Bank
DTC		Depository Trust Company
DZ		DZ Bank

CONTEXTDOCUMENTATIONINDEX

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    <documentID>8</documentID>
    <documentTitle>Skammstafanir</documentTitle>
<documentDescription>Listi yfir skammstafanir</documentDescription>
<documentDate>2019-11-26</documentDate>
<documentAuthor>
  <authorName>S. Andrea Ásgeirsdóttir</authorName>
  <authorInstitution>Þjóðskjalasafn Íslands</authorInstitution>
</documentAuthor>
    <documentCategory>
        <systemInformation>
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  </systemInformation>
  <ingestInformation>
   <archivalTestNotes>true</archivalTestNotes>
  </ingestInformation>
  <archivalPreservationInformation>
   <archivalMigrationInformation>true</archivalMigrationInformation>
  </archivalPreservationInformation>
    </documentCategory>
</document>
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CONCLUSION

- The SIP was not as we wanted,
  but we made the best as we could out of the situation

- The List of abbreviations was great help and we can use workaround if we cannot find documents by date

- If we face the same dilemma in the future, we know what to do!

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Thank you

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CONTEXTDOCUMENTATIONINDEX

```xml
<document>
    <documentID>8</documentID>
    <documentTitle>Skammstafanir</documentTitle>
<documentDescription>Listi yfir skammstafanir</documentDescription>
<documentDate>2019-11-26</documentDate>
<documentAuthor>
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CONTEXTDOCUMENTATIONINDEX

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<documentDescription>Leiðbeiningar vegna leit o.fl. í vörsluútgáfu</documentDescription>
<documentDate>2019-11-28</documentDate>
<documentAuthor>
  <authorName>S. Andrea Ásgeirsdóttir</authorName>
  <authorInstitution>Þjóðskjalasafn Íslands</authorInstitution>
</documentAuthor>
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CONTEXTDOCUMENTATIONINDEX

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<documentDescription>Skjal sem var fyllt út vegna prófunar á vörsluútgáfu.
Ýmsar athugasemdir vegna prófunar á vörsluútgáfu.</documentDescription>
<documentDate>2019-11-28</documentDate>
<documentAuthor>
  <authorName>S. Andrea Ásgeirsdóttir</authorName>
  <authorInstitution>Þjóðskjalasafn Íslands</authorInstitution>
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